

### Interview Summary

Application No.

09/585,573

Applicant(s)

TSUMURA ET AL.

Examiner

Sean E Vincent

Art Unit

1731

All participants (applicant, applicant's representative, PTO personnel):

(1) Sean E Vincent.

(3) \_\_\_\_\_.

(2) Gautam Prakash.

(4) \_\_\_\_\_.

Date of Interview: 19 June 2003.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1, 4 and 24.

Identification of prior art discussed: none.

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The applicant did not authorize the proposed examiner's amendment and it was agreed that an advisory action would be mailed.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

\_\_\_\_\_  
Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.



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# Fax Cover Sheet

**Date:** 17 Jun 2003

<b>To:</b> Gautam Prakash	<b>From:</b> Sean E Vincent
<b>Application/Control Number:</b> 09/585,573	<b>Art Unit:</b> 1731
<b>Fax No.:</b> 703-905-2500	<b>Phone No.:</b> 703-305-3607
<b>Voice No.:</b> 703-905-2031	<b>Return Fax No.:</b> 703-872-9310
<b>Re:</b> Proposed Examiner Amendment	<b>CC:</b>
<input type="checkbox"/> <b>Urgent</b>	<input checked="" type="checkbox"/> <b>For Review</b>
<input type="checkbox"/> <b>For Comment</b>	<input type="checkbox"/> <b>For Reply</b>
<input type="checkbox"/> <b>Per Your Request</b>	

**Comments:**

Transmitted herewith is a proposed examiner's amendment to make claims 1-36 allowable. An advisory action will be mailed on Friday, June 20<sup>th</sup> if the applicant does not respond by close of business on June 19<sup>th</sup>.

Number of pages <sup>4</sup> including this page

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# PROPOSED EXAMINER AMENDMENT

Application/Control Number: 09/585,573  
Art Unit: 1731

Page 2

The After Final amendment filed on June 2, 2003 **will not** be entered.

## EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.
2. Authorization for this examiner's amendment was given in a telephone interview with Gautam Prakash on \*\*\*.

The application has been amended as follows:

Claim 1 has been amended as follows:

1. (Currently Amended) An apparatus for manufacturing a glass base material and maintaining the purity of a raw material which is a parent material of an optical fiber, comprising:

a tank which contains a raw material of a glass base material to vaporize said raw material and generate the raw material in the gas phase;

a temperature control unit consisting of a temperature control circuit, at least one heat source and at least one temperature sensor which controls a temperature of said raw material to be

constant; and

a pressure control unit consisting of at least one carrier gas control valve, at least one carrier gas supply unit, a pressure control circuit and at least one pressure sensor which controls a pressure of said raw material in the gas phase to be constant.

Claim 4 has been amended as follows:

4. (Currently Amended) An apparatus as claimed in claim 3, wherein said [pressure control unit has a] carrier gas supply unit[, which] supplies a carrier gas for controlling said equilibrium vapor pressure, by bubbling said carrier gas through said liquid phase region.

Claim 24 has been amended as follows:

24. (Currently Amended) A method for manufacturing a glass base material and maintaining the purity of a raw material, comprising:

providing a raw material of said glass base material,

heating said raw material to vaporize said raw material and generate a raw material in the gas phase,

supplying a carrier gas to reduce the partial pressure of said raw material in the gas phase to vaporize said raw material,

controlling a temperature of said raw material to be constant by means of a temperature control unit consisting of a temperature control circuit, at least one heat source and at least one temperature sensor by adjusting said heating of said raw material, and

controlling said partial pressure of said raw material to be constant by means of a pressure control unit consisting of at least one carrier gas control valve, at least one

Application/Control Number: 09/585,573  
Art Unit: 1731

Page 4

carrier gas supply unit, a pressure control circuit and at least one pressure sensor by  
adjusting said supply of said carrier gas.

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sean E Vincent whose telephone number is 703-305-3607. The examiner can normally be reached on M - F (8:30 - 6:00) Second Monday Off.
4. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Steven P Griffin can be reached on 703-308-1164. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9310 for regular communications and 703-872-9311 for After Final communications.
5. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0651.

Sean E Vincent  
Primary Examiner  
Art Unit 1731

S Vincent  
June 17, 2003